On Class-based Isolation of UDP, Short-lived and Long-lived TCP Flows

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Boston University
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Abstract
The congestion control mechanisms of TCP make it vulnerable in an environment where flows with different congestion-sensitivity compete for scarce resources. With the increasing amount of unresponsive UDP traffic in today’s Internet, new mechanisms are needed to enforce fairness in the core of the network. We propose a scalable Diffserv-like architecture, where flows with different characteristics are classified into separate service queues at the routers. Such class-based isolation provides protection so that flows with different characteristics do not negatively impact one another. In this study, we examine different aspects of UDP and TCP interaction and possible gains from segregating UDP and TCP into different classes. We also investigate the utility of further segregating TCP flows into two classes, which are class of short and class of long flows. Results are obtained analytically for both Tail-drop and Random Early Drop (RED) routers. Class-based isolation have the following salient features: (1) better fairness, (2) improved predictability for all kinds of flows, (3) lower transmission delay for delay-sensitive flows, and (4) better control over Quality of Service (QoS) of a particular traffic type.

Keywords: Class-based isolation vs. sharing, packet dropping (RED, Tail-drop), fairness, queuing analysis.

1. Introduction

Internet traffic is a mixture of smooth, unresponsive (or not sufficiently responsive) real-time traffic (UDP) and bursty, congestion-sensitive traffic (TCP). However, mixing flows with different characteristics can cause performance problems. Real-time traffic not only performs poorly because of delay variations and packet drops, but also hurts congestion-sensitive traffic when they compete for scarce bandwidth [18]. TCP congestion control works well in isolation but in aggregate it can be unfair [9]. In times of congestion, responsive TCP flows tend to give up bandwidth to competing unresponsive UDP flows, which is the main reason for performance degradation and unfairness for congestion-sensitive flows. In addition to bandwidth starvation imposed on responsive traffic, uncontrolled deployment of unresponsive traffic could also lead to Internet-wide congestion collapse [11]. To solve fairness issues among TCP and UDP flows, we should not focus on penalizing UDP flows. UDP flows need to have the same fair treatment as TCP flows as they usually carry multi-media content [16].

To be able to provide protection, so that flows with different characteristics do not negatively impact one another, we propose a Diffserv-like architecture, where flows with different characteristics are classified into separate service queues at the routers. This can be implemented using a class-based queuing scheme [8] or by routing different groups of flows on (logically) separate communication paths [20] as described in [14]. The proposed architecture has the advantages of Diffserv architectures such as simplicity and scalability, since core routers only need to keep state information for each class rather than for each flow.

We also argue for further isolation of TCP flows as long-lived and short-lived, because they also have very different characteristics. Short-lived TCP flows arrive in a more bursty fashion, which prevents long TCP flows from operating in a predictable mode. Short TCP flows seldom need to operate beyond the slow-start phase of TCP to finish their transmission, that is why their window size is generally smaller, so they generate smaller packet bursts. Since long-lived TCP connections mostly operate in congestion avoidance phase probing for bandwidth with larger windows, they produce larger packet bursts. TCP connections with large window sizes are more tolerant of packet loss than those with small windows. While long TCP flows may recover from multiple packet losses in one round-trip time (RTT) [6], short TCP flows may have to wait for a timeout period to recover from a single packet loss. It is observed that long TCP flows may completely shut off short TCP flows [14]. This causes performance problems for short TCP flows, which generally carry interactive/delay-sensitive data.

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Class-based isolation, at low cost, provides a fair environment for TCP flows. Isolation also provides a more predictable environment for real-time data carried by UDP flows by shielding them from the burstiness of TCP traffic.

The rest of the paper is organized as follows: Section 2 explains our analytic model, describes our experiments, and performance measures. General observations and results of individual experiments are presented in Section 3 and Section 4, respectively. Section 5 revisits related work and finally Section 6 concludes the paper.

2. Experiments and Analytic Model

2.1. Buffer Management Schemes

In this study, we examine FIFO queuing with Tail-drop and RED [10] with mixture of different traffic types such as UDP, long-lived TCP and short-lived TCP. We investigate the effects of isolation for each case.

FIFO queuing with Tail-drop is the most widely implemented and deployed queuing mechanism in today’s routers because of its simplicity. However, it has problems such as tendency to penalize bursty connections and not providing any kind of protection against misbehaving flows that send more than their share. A single source, sending packets to the router at sufficiently high rate, can capture arbitrarily high fraction of the bandwidth of the outgoing link. Thus, in non-cooperative environments, Tail-drop would fail to provide fairness. RED on the other hand, hopes to eliminate bias against bursty traffic by limiting the queue occupancy so that there is always room left in the queue to buffer transient bursts. RED addresses fairness by dropping packets from flows in proportion to their bandwidths. In non-cooperative environments, we expect RED to perform better than Tail-drop, however, in [12, 7, 19], it is shown that RED alone may not provide fairness when a mixture of different traffic types share the same link. Also, it has been shown that RED prevents bias against bursty traffic by increasing the drop probability of smooth traffic [2]. This means that RED would penalize UDP and TCP flows with small packet bursts to improve overall fairness.

2.2. Analytic Model

Bonald et al., in [2], evaluated the performance of RED against Tail-drop. Their analytic model for RED uses the following approximation: “All packets in the same burst see the same drop probability.” In this paper, we relax this approximation so as to accurately evaluate the performance of short- and long-lived TCP flows with different packet burst sizes. Here we summarize the way the parameters are set and describe the analytic model for both kinds of buffer management scheme: Tail-drop and RED.

For TCP flows, bursts of \( B \) packets arrive according to a Poisson process of rate \( \lambda_{TCP} \). Since TCP is window-based, it sends a burst of packets every round-trip time (RTT). That is why we fix \( \lambda_{TCP} \) to 1/RTT bursts/second and vary the number of TCP flows, \( N \), to vary TCP load. Throughout the study, we assume all TCP flows of the same class (short- or long-lived) have the exact same characteristics. We choose RTT so that \( \lambda_{TCP} = 8.3 \) bursts/sec. For UDP flows, packets arrive according to a Poisson process of rate \( \lambda_{UDP} \). We vary UDP load through \( \lambda_{UDP} \).

The total offered TCP load is \( \rho_{TCP} = (B \times \lambda_{TCP} \times N)/\mu \). The total offered UDP load is \( \rho_{UDP} = \lambda_{UDP}/\mu \). Thus the total offered load is \( \rho_{total} = \rho_{TCP} + \rho_{UDP} \).

The processing times of the packets in the router are assumed to be exponentially distributed with mean \( 1/\mu \). The queue length is set to the bandwidth-delay product, where the bandwidth is \( \mu \) and delay is RTT.

The burst size, \( B \), models the average window size of a flow during its life-time. Since long-lived TCP flows mostly operate in congestion avoidance phase and short-lived TCP flows mostly operate in slow-start, long-lived TCP flows will have bigger window sizes on average than short-lived TCP flows [3]. Unless otherwise stated, we take \( B=1 \), \( B=4 \), and \( B > 4 \) for UDP, short-lived TCP and long-lived TCP flows, respectively.

The number of packets buffered in the queue defines a Markov chain. Let \( \pi \) denote the stationary distribution of the total number of packets in the queue. Using PASTA property, the drop probability of a packet from a TCP flow and UDP flow in a Tail-drop router is given by:

\[
P_{drop}(TCP) = \pi(K)+\pi(K-1)+\cdots+\pi(K-B+1)\frac{1}{\mu}
\]

\[
P_{drop}(UDP) = \pi(K)
\]

where \( K \) is the buffer size of the router in terms of packets.

With the RED buffer management scheme, incoming packets are dropped with a probability that is an increasing function \( d(.) \) of the average queue size \( k_{avg} \). The average queue size is computed using an exponential weighted moving average:

\[ k_{avg} = (1-w)k_{avg} + wk \]

where \( w \) is fixed and \( k \) is the instantaneous queue size when a packet arrives. As in [2], we assume for simplicity that the drop rate depends on instantaneous queue size \( k \) rather than on average queue size \( k_{avg} \). Thus, the drop function, \( d(.) \), is defined as:

\[
d(k) = \begin{cases} 
\frac{(i-min_h)}{(max_h-min_h)} \cdot max_p & \text{if } min_h \leq k < max_h, \\
0 & \text{if } k < min_h, \\
1 & \text{if } k \geq max_h.
\end{cases}
\]

In all the experiments, for RED gateway, the parameters are \( min_h=K/2 \) packets, \( max_h=K \) packets, and \( max_p=1 \).

The model in [2] makes the following approximation: “The RED router uses the same drop probability \( d(k) \) for all packets in the same burst, where \( k \) is the instantaneous queue size at the time the first packet in the burst arrives at the router.” With this approximation, the model gives a lower bound on the drop rate. Using PASTA property, the
The drop probability of a packet from a TCP flow or a UDP flow in a RED router is approximately given by:

\[ P_{\text{RED}}(TCP) = P_{\text{RED}}(UDP) = \sum_{k=1}^{K} \pi(k)d(k) \quad (1) \]

This approximation underestimates TCP drop probability, especially for large burst (window) sizes. Throughout our experiments, we need to compare the performance of short- and long-lived TCP flows when they share the same queue. However, the model with the above approximation is only accurate if the burst size, \( B \), is not large compared to the buffer size. That is, for fixed \( K \), smaller values of \( B \) give more accurate results than larger values of \( B \). We overcome this inaccuracy by removing the approximation. To compute the drop probability of TCP under RED, instead of equation (1), we compute the expected number of drops for a typical TCP packet burst. Thus the drop probability is given by:

\[ P_{\text{RED}}(TCP) = \sum_{k=1}^{K} \pi(k)\left(\sum_{n=0}^{B} P_n^{\frac{(B-n)}{B}}\right) \]

where \( P_n \) is the probability that exactly \( n \) packets of the TCP burst are not dropped. \( P_n \) obviously depends on \( d(k) \).

To illustrate, the probability of going from state \( k \) to state \( (k+1) \), i.e., exactly one packet of the burst is not dropped, is given by:

\[ P_1 = \sum_{j=0}^{B-1} d^j(k)(1-d(k))(d(k+1))^{(B-j-1)}. \]

Similarly, the probability of going from state \( k \) to state \( (k+2) \), i.e., exactly two packets of the burst are not dropped, is given by:

\[ P_2 = \sum_{i+j+1=B-2} d^i(k)(1-d(k))d^j(k+1)(1-d(k+1))d(k+2). \]

Other transition probabilities can be similarly computed.

The drop probability of UDP can be computed as a special case where \( B=1 \),

\[ P_{\text{RED}}(UDP) = \sum_{k=1}^{K} \pi(k)d(k). \]

2.3. Performance Parameters and Measures

The experiments in this study fall under the following categories: Effect of fraction of service rate allocated to different classes, effect of burst size on interacting flows, effect of having both short-lived and long-lived TCP flows, effect of isolation into two classes (UDP and TCP) vs. three classes (UDP, short-lived TCP, and long-lived TCP).

Each experiment is repeated for the cases where flows with different characteristics compete with each other and where they are isolated. Henceforth, we refer to the first case as sharing or mixed case, and the second case as isolation. In isolation, the service rate and queue size are split in proportion to the load introduced by each class while the buffer management scheme is kept the same. We use \( K_{\text{class}2,\text{type}} \) and \( \mu_{\text{class}2,\text{type}} \) to refer to the queue size and service rate allocated to a particular class, respectively. All experiments are done for both Tail-drop and RED routers, and repeated for high load (where total load=2) and low load (where total load=1). The main performance measures are:

1. drop probability as a measure of effective goodput, and
2. fairness across flow types.

For fairness across \( N \) classes, we use Chiu and Jain’s fairness index [4], which is defined as

\[ f = \frac{(\sum_{i=1}^{N} x_i)^2}{N\left(\sum_{i=1}^{N} x_i^2\right)} \]

where \( x_i \) is the drop probability of flow-type \( i \).

3. General Observations

Before presenting the experiments, we summarize our observations:

- At high load (load \( \geq 1 \)), isolation improves fairness across TCP and UDP classes over shared Tail-drop by reducing drop probability of TCP at the expense of increased drop probability for UDP. Although the performance of shared RED is as good as isolated Tail-drop queues, isolated queues have an advantage that shared RED cannot provide: Better control over quality of service of each traffic type by controlling the allocation of resources to each class.

- Unlike shared RED or Tail-drop, isolation of UDP and TCP significantly reduces drop probability of short-lived TCP flows, which constitute the majority of TCP flows over the Internet. Since short-lived TCP flows are usually interactive/delay-sensitive, isolation improves the quality of service of this class. Decreased drop probability of short-lived TCP flows would also improve fairness among short TCP flows, which are more likely to timeout to detect packet drops. Timeouts are not desirable as they lead to extended idle periods and degradation of throughput.

- Further isolation of TCP ensures fairness across all 3 types of flows: UDP, short-lived TCP, and long-lived TCP. By having a separate queue for each class, it is easier to manage quality of service of a particular traffic type. If we want to increase quality of service of short-lived TCP flows, which are usually interactive/delay-sensitive and tend to timeout on packet drops, we can just allocate more resources to the class of short TCP flows to further improve quality.

- While taking advantage of isolated queues across different traffic classes, using RED within each class may further improve the intra-class quality by preventing synchronization of TCP flows as RED randomizes losses.
• At low load, we observe that (static) isolation lowers statistical multiplexing gain compared to sharing. To avoid this, dynamic resource allocation as in CBQ [8] should be used.
• Isolation creates a more predictable environment for real-time UDP traffic by shielding them from the burstiness of competing TCP traffic.
• Class-based isolation simplifies the problem of providing deterministic delay bounds by increasing the service predictability for all kinds of flows.

4. Experiments

4.1. Effect of Service Rates Allocated to Classes

4.1.1. Experimental Setup: In this experiment, effects of isolation into two classes, namely UDP class and TCP class, are observed as resources allocated to each class are varied.

The experiment in this section is repeated for different total load assignments of 0.5, 1, 1.5, 2 and 2.5. $\lambda_{TCP}=8.3$ bursts/second, $B_{TCP}=4$ packets, $\mu=930$ packets/second, and $K=56$ packets. The offered load by TCP and UDP are equal. For different load values, $N_{TCP}$ and $\lambda_{UDP}$ are computed. In corresponding isolated cases, the fraction of service rate and queue size allocated to TCP is varied. The remaining of the resources not assigned to the TCP class are allocated to the UDP class. When there is excess resources (load < 1), they are divided equally between the TCP and UDP classes.

4.1.2. Results: Results are shown in Figure 1. Figures 1(a) and (b) show the cases where total arrival rate of packets is less than the total service rate. Figures 1(c) and (d) show the cases where total arrival rate of packets is greater than the total service rate. In all figures, as service rate allocated to TCP increases, service rate allocated to UDP decreases. The drop probability is inversely proportional to the allocated service rate and queue size as expected.

The observations for Tail-drop can be summarized as follows: When total load $\leq 1$ (Figure 1(a)), we do not have a scenario such that in isolation, resources can be split between the two classes in such a way that fairness is improved without increasing the drop probability of both TCP and UDP. This is because in low-load environments, (static) isolation reduces statistical gains obtained by sharing. When total load increases (Figure 1(c)), we observe that in isolation, if we split the resources equally between the two classes, we have the same drop probability for both kinds of traffic. This provides a very fair environment (see Figure 2).

Table 1 shows the values of parameters of the points where fairness is improved by isolation. The common property of such points is $\mu_{TCP} = \mu_{UDP}$ and $K_{TCP} = K_{UDP}$. This is because $\rho_{TCP}/(\rho_{TCP} + \rho_{UDP}) = 50\%$. Therefore, fairness can be achieved by class-based isolation, where total service rate is divided in proportion to the load of TCP and UDP. We observe that at points where isolation improves fairness, the drop probability of TCP flows is reduced by the same amount as the drop probability of UDP is increased.

![Figure 1. Effects of isolation as service rate and queue size allocated to TCP class increases whereas service rate and queue size allocated to UDP class decreases.](image)

![Figure 2. Fairness index for both isolation and sharing at points where resources are split equally among TCP and UDP classes (with Tail Drop).](image)
better control over quality of service for a particular class.

4.2. Effect of Burst Size

4.2.1. Experimental Setup: The goal of this experiment is to examine the way UDP and TCP flows interact as the burst size of TCP flows increases. Effects of isolating traffic into UDP and TCP classes are observed in terms of drop probability of each class, and fairness. We consider two scenarios:

(1) High Load: $\rho_{\text{total}}=2$, $\mu_{\text{total}}=1660$ packets/second, $K=100$ packets, $\rho_{\text{UDP}}=\rho_{\text{TCP}}=1$, $\lambda_{\text{UDP}}=1660$ packets/second, $\lambda_{\text{TCP}}=8.3$ bursts/second. $N$ and $B_{TCP}$ are varied.

(2) Low Load: Parameters are the same as high load, except $\rho_{\text{total}}=1$, $\rho_{\text{UDP}}=\rho_{\text{TCP}}=0.5$, $\lambda_{\text{UDP}}=830$ packets/second.

4.2.2. Results: The results are shown in Figure 3 and Figure 4 for Tail-drop and RED, respectively.

At high load, both Tail-drop and RED show similar behavior: Mixing TCP and UDP hurts TCP. As burst size of TCP increases, performance degradation to TCP increases. Of course, even in this case, RED is much more fair than Tail-drop. The average drop probability of TCP and UDP in the shared case is very close to individual drop probabilities in isolation, which means that what is lost by TCP class is gained by UDP class. The reason behind the behavior of shared Tail-drop is its known bias against bursty traffic [12, 2, 10]. As burst size increases, it becomes more difficult to find a space in the queue to squeeze into. For shared RED, since we are using instantaneous queue size rather than average queue size, the big transient bursts might not be handled the way they could have. However, even in this case, unfairness to TCP does not seem serious (see Figure 5).

4.3. Effects of Isolation into 2 Classes

4.3.1. Experimental Setup: The goal of this experiment is to see the effects of isolation of flows into two classes, namely TCP and UDP. In this experiment, the TCP class has two kinds of flows: long-lived TCP, and short-lived TCP.
We consider two scenarios:

1. **High Load**: \( \rho_{\text{Total}}=2, \ \mu_{\text{Total}}= 1660 \text{ packets/second}, \ K=100 \text{ packets, } \rho_{\text{UDP}}/(\rho_{\text{TCP-Long}} + \rho_{\text{TCP-Short}}) = 50\%, \ \rho_{\text{TCP-Long}}/(\rho_{\text{TCP-Long}} + \rho_{\text{TCP-Short}}) = 80\% \), \( \lambda_{\text{UDP}}=1660 \text{ packets/second, } \lambda_{\text{TCP-Long}}= \lambda_{\text{TCP-Short}}=8.3 \text{ bursts/second, } N_{\text{TCP-Short}}=10 \text{ and } B_{\text{TCP-Short}}=4 \text{ packets. } N_{\text{TCP-Long}} \text{ and } B_{\text{TCP-Long}} \text{ are varied. As we increase the ratio } N_{\text{TCP-Short}}/N_{\text{TCP-Long}}, \text{ we are actually reducing the number of long-lived TCP flows while increasing their burst size to keep } \rho_{\text{TCP-Long}} \text{ constant.}

2. **Low Load**: The same parameters as high load, except \( \rho_{\text{Total}}=1, \ \mu_{\text{Total}}= 3320 \text{ packets/second, } K=200 \text{ packets.}

The load offered by long-lived TCP is chosen to be 80% of total TCP load. This percentage reflects the fact that only a small percentage of flows are long-lived, however they carry the majority of total traffic bytes in the Internet [17].

In the case of high load, isolation provides better and fairer drop probability of TCP flows and does not improve fairness, since we are losing statistical multiplexing gains obtained by sharing.

**4.4. Effects of Isolation into 3 Classes**

**4.4.1. Experimental Setup**: All the parameters are the same as in Section 4.3. However, in this experiment we examine the effects of isolating flows into 3 classes instead of 2, which are UDP, long TCP, and short TCP. By doing this, we expect to have better fairness values for isolated TCP flows.

**4.4.2. Results**: The results are shown in Figures 9 and 10 for Tail-drop and RED, respectively.

In the case of high load, isolation provides better and predictable drop probability for all classes. UDP no longer hurts TCP flows, and short-lived TCP flows no longer hurt long-lived TCP flows. The fairness index for these scenarios is shown in Figure 11. In isolation, we observe perfect fairness (=1) across flow types, which means short-lived TCP flows, which typically carry interactive/delay-sensitive data. However, drop probability of UDP increases when it is isolated. This means that UDP is taking advantage of both short- and long-lived TCP flows when they are mixed. Another interesting observation is when long and short TCP flows are in the same class, drop probability of short TCP flows decreases at the expense of increased drop probability for long TCP flows, and this unfairness increases as burst size of long TCP flows increases. This can also be seen from the fairness index plotted for the isolated TCP class in Figure 8. From this perspective, it seems that long TCP flows are the only victim of aggregation, and there is no way for short TCP flows to be shut off by long TCP flows. This is only because the model does not reflect the fact that when a packet is dropped, short TCP flows would back off and may wait for a timeout period to send again [14, 13]. With RED, results are similar to the results obtained by Tail-drop, however as usual, unfairness is small (see Figure 8). Overall, isolation improves fairness for TCP flows and their performance.
5. Related Work

In order to address the problem of non-responsive flows, a number of studies [11, 12, 7] propose detecting non-responsive flows and limiting their rates so that they do not impact the performance of responsive flows. Others [5] suggest isolation to minimize interaction between connections in the context of Intserv per-flow architectures. Our focus here is on a simple, scalable, and less costly solution in the context of Differentiated Services (DiffServ) architectures, where per-flow information is only maintained at access routers to classify packets. Core routers implement a simple class-based isolation scheme.

In the same context, [19] addresses fairness issues to solve the problem of unfriendly flows. However, their method is computationally more expensive than the one we are proposing here. In [16], Pieda et al. study TCP and UDP interaction using different drop preference mapping when they share the same Assured Forwarding (AF) PHB class and conclude that 3-drop preference mapping is not enough to solve fairness issues. In [15], Nandy et al. suggest using intelligent traffic conditioners to map UDP and TCP into different AF class queues. They observe that isolation solves fairness issues among TCP and UDP. Our work here not only examines the interaction of TCP and UDP flows, but also concentrates on short- and long-lived TCP flows. Our focus has been on isolating traffic with different characteristics (UDP, short- and long-lived TCP flows) to improve predictability of the service, fairness among TCP flows of different size, and better control over QoS of a particular type of traffic.

In [1], Bhaniramka et al. study isolation of TCP and UDP traffic over MPLS. Again this study does not consider how different (short- and long-lived) TCP flows are
affected by non-responsive flows and effects of isolation in such environments. Our study extends our work in [14, 13] to include non-responsive flows and analytically evaluate the benefits of isolation.

6. Conclusion and Future Work

Class based-isolation provides better fairness among different types of traffic in high-load environments. Isolation of UDP and TCP improves fairness for TCP flows and reduces their drop probability. This improvement is independent of the buffer management scheme and is pronounced for short-lived TCP flows, which usually carry interactive/delay-sensitive data and more likely to timeout on packet drops. Further isolation of TCP into short and long classes improves fairness and predictability among different types of TCP flows.

Isolation is a necessary and simple alternative if the deployed buffer management scheme is shared Tail-drop. With shared RED, we see similar benefits. RED (even when average queue size is not used) helps to reduce bias against bursty traffic, and performs well enough in terms of fairness. We expect that the results for RED would be better if average queue length was used. Even if this would be the case, we still believe isolation has other merits that RED cannot provide: Better control over quality of service of each flow type (class). To provide better quality for a particular type of traffic, we can just allocate more resources to that class. This is particularly important for interactive/delay-sensitive traffic such as short-lived TCP flows. At low-load environments, isolation must implement dynamic resource allocation (as in CBQ [8]) to avoid statistical loss.

As isolation provides better fairness and performance improvement for TCP flows, UDP flows generally experience increased drop probability. However, isolation improves service predictability for UDP flows usually carrying real-time data.

Finally our results suggest isolated queues for better fairness, performance and QoS management across different flow types, while using RED within each class to improve intra-class fairness by preventing synchronization of TCP flows. Future work remains to develop more detailed models. We intend to look at fairness within each class, where flows do not have the exact same characteristics. The goal is to identify the minimum number of classes for a mix of TCP flows with various lifetimes/window sizes and round-trip times.

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References


